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28748

TRANSMITTAL FORM			Application No.	09/89	96,695		
			Filing Date	June	28, 2001		
(to be used for all correspondence after initial filing)			First Named Inventor	Liew	Chuang Chiu		
			Art Unit	2874			
			Examiner Name	Lin,	Lin, Tina M.		
Total Number of Pages	Total Number of Pages in This Submission 6			er 3918	P017		
	ENCLO	SURES (chec	k all that apply)				
Fee Transmittal Form		Drawing(s)			After Allowance Communication to Group		
Fee Attached		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences		
Amendment / Respon	nse	Petition			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final Affidavits/decla	aration(s)	Petition to Convert a Provisional Application			Proprietary Information		
Extension of Time Re	quest	Power of Attorney, Revocation Change of Correspondence Address			Status Letter		
Express Abandonment Request		Terminal Disclaimer			Other Enclosure(s) (please identify below):		
Information Disclosure Statement		Request for Refund			Prior Art References		
PTO/SB/08		CD, Number of CD(s)					
Certified Copy of Prior Document(s)	rity						
Response to Missing Incomplete Application	Parts/ n	Remarks					
Basic Filing Fee		Prior Art References not included in total page count.					
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Response to M Parts under 37 1.52 or 1.53	Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm William E. Alford, Reg. No. 37,764							
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Signature With Gulford							
Date October 24, 2003							
CERTIFICATE OF MAILING/TRANSMISSION							
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.							
Typed or printed name	Susan McFai	rlane					
Signature		1/	L 1	Date	October 24, 2003		

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TOTAL AMOUNT OF PAYMENT

(\$)

## FEE TRANSMITTAL for FY 2003 Effective 01/01/2003. Patent fees are subject to annual revision. Application Number 09/896,695 Filling Date June 28, 2001 First Named Inventor Liew Chuang Chiu Applicant claims small entity status. See 37 CFR 1.27.

Group/Art Unit

Attorney Docket No.

180.00

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3918P017

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. ADDITIONAL FEES							
		Entity	Sma	II Entity	,			
	Fee	Fee	Fee	Fee	_			
Deposit Account 02-2666	Code	(\$)	Code	(\$)	Fe	e Description		Fee Paid
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Account Blakely, Sokoloff, Taylor & Zafman LLP		30	2002	23	cover sheet.	risional ming ree of		
Name Blakery, Sokoloti, Taylor & Zamian EE	2053	130	2053	130	Non-English specifica			
The Commissioner is authorized to: ( check all that apply)	1812	2,520	1812	2,520	For filing a request fo		nation	
Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920	<ul> <li>Requesting publication</li> </ul>	on of SIR phor to		1
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1,840	* Requesting publication	on of SIR after		
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to the above-identified deposit account	1251	110	2251	55	Extension for reply wi			
FEE CALCULATION	1252	420	2252	210	Extension for reply wi			
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply wi			
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1001 770 2001 385 Utility filing fee	1404 1402	330	2401	165 165	Notice of Appeal Filing a brief in suppo	ort of an annual		<u> </u>
1002 340 2002 170 Design filing fee	1402	290	2402	145	Request for oral hear			
1003 530 2003 265 Plant filing fee	1451	1,510	2451	1,510	Petition to institute a	-	tina	
1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - un		9	
	1453	1,330	2453	665	Petition to revive - un			
SUBTOTAL (1) (\$)		1,330	2501	665	Utility issue fee (or re	issue)		
2. EXTRA CLAIM FEES Extra Fee from	1502	480	2502	240	Design issue fee			
Ctaims below Fee Paid	1503	640	2503	320	Plant issue fee			
Total Claims	1460	130	2460	130	Petitions to the Comr	missioner		
CtaimsX	1807	50	1807	50	Processing fee under	37 CFR 1.17(q)		
Multiple Dependent =	1806	180	1806	180	Submission of Inform	ation Disclosure St	tmt	180.00
Large Entity Small Entity	8021	40	8021	40	Recording each pater property (times numb			
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	1809	770	1809	385	Filing a submission af (37 CFR § 1.129(a))			
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional in	vention to be		
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1204 86 2204 43 **Reissue independent claims over original	1801	770	2801	385	Request for Continue	d Examination (RC	E)	
patent	1802	900	1802	900	Request for expedited of a design application			
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other fe	e (specify)						
SUBTOTAL (2) (\$)			- F B :					
**or number previously paid, if greater, For Reissues, see below	Reduced	by Basic Filin	g ree Paid	0		SUBTOTAL (3)	(\$)	180.00
SUBMITTED BY						Comp	lete (if applica	ible)
Name (Print/Type) William E. Alford		gistratio lomey/Age		3	37,764	Telephone	(714) 55	
Signature Nath 5 Clfs				•		Date	10/2	4/03

Docket No.: 3918P017



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

h Re the Application of:

LIEW CHUANG CHIU, ET AL.

Application No.: 09/896,695

Filed: June 28, 2001

For: METHOD AND APPARATUS FOR PUSH

BUTTON RELEASE FIBER OPTIC

**MODULES** 

Art Group: 2874

Examiner: Lin, Tina M.

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: October 24, 2003

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025

(714) 557-3800

I hereby certify that this correspondence is being deposited with the United States

William E. Alford, Reg. 😿

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22313-1450.

Susan McFarlane

Date

-2- 3918P017

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known				
Application Number	09/896,695			
Filing Date	June 28, 2001			
First Named Inventor	Liew Chuang Chiu			
Art Unit	2874			
Examiner Name	Lin, Tina M.			
Attorney Docket Number	3918P017			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-6,364,540 B2	04-02-2002	Shishikura, et al.		
		US-6,517,382 B2	02-11-2003	Flickinger, et al.		
		US-6,524,134 B2	02-25-2003	Flickinger, et al.		
		US-6,530,785 B1	03-11-2003	Hwang		
		US-2003/0007738 A1	01-09-2003	Cairns, et al.		
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FOREIGN PATENT DOCUMENTS								
Examiner Cite	Foreign Patent Document			Pages, Columns, Lines,				
Initials*	No.1	Country Code' - Number' - Kind Code' (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵		
	<u> </u>							

Examiner	Date	
Signature	Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). <sup>1</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>1</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>1</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>1</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>1</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.